

TITLE 86: REVENUE  
CHAPTER IV: ILLINOIS GAMING BOARD  
  
PART 3000  
RIVERBOAT AND CASINO GAMBLING  
  
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225 AUTHORITY: Implementing and authorized by the Illinois Gambling Act [230 ILCS 10],  
 226 Video Gaming Act [230 ILCS 40], Sports Wagering Act [230 ILCS 45], and Illinois Horse  
 227 Racing Act of 1975 [230 ILCS 5].

228

229 SOURCE: Emergency rule adopted at 15 Ill. Reg. 11252, effective August 5, 1991, for a  
 230 maximum of 150 days; adopted at 15 Ill. Reg. 18263, effective December 10, 1991; amended at  
 231 16 Ill. Reg. 13310, effective August 17, 1992; amended at 17 Ill. Reg. 11510, effective July 9,  
 232 1993; amended at 20 Ill. Reg. 5814, effective April 9, 1996; amended at 20 Ill. Reg. 6280,  
 233 effective April 22, 1996; emergency amendment at 20 Ill. Reg. 8051, effective June 3, 1996, for  
 234 a maximum of 150 days; amended at 20 Ill. Reg. 14765, effective October 31, 1996; amended at  
 235 21 Ill. Reg. 4642, effective April 1, 1997; emergency amendment at 21 Ill. Reg. 14566, effective  
 236 October 22, 1997, for a maximum of 150 days; emergency amendment at 22 Ill. Reg. 978,  
 237 effective December 29, 1997, for a maximum of 150 days; amended at 22 Ill. Reg. 4390,  
 238 effective February 20, 1998; amended at 22 Ill. Reg. 10449, effective May 27, 1998; amended at  
 239 22 Ill. Reg. 17324, effective September 21, 1998; amended at 22 Ill. Reg. 19541, effective  
 240 October 23, 1998; emergency amendment at 23 Ill. Reg. 8191, effective July 2, 1999 for a  
 241 maximum of 150 days; emergency expired November 28, 1999; amended at 23 Ill. Reg. 8996,  
 242 effective August 2, 1999; amended at 24 Ill. Reg. 1037, effective January 10, 2000; amended at  
 243 25 Ill. Reg. 94, effective January 8, 2001; amended at 25 Ill. Reg. 13292, effective October 5,  
 244 2001; proposed amended at 26 Ill. Reg. 9307, effective June 14, 2002; emergency amendment  
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 246 Ill. Reg. 15296, effective October 11, 2002; amended at 26 Ill. Reg. 17408, effective November  
 247 22, 2002; emergency amendment at 27 Ill. Reg. 10503, effective June 30, 2003, for a maximum  
 248 of 150 days; amended at 27 Ill. Reg. 15793, effective September 25, 2003; amended at 27 Ill.  
 249 Reg. 18595, effective November 25, 2003; amended at 28 Ill. Reg. 12824, effective August 31,  
 250 2004; amended at 31 Ill. Reg. 8098, effective June 14, 2007; amended at 32 Ill. Reg. 2967,  
 251 effective February 15, 2008; amended at 32 Ill. Reg. 3275, effective February 19, 2008; amended  
 252 at 32 Ill. Reg. 7357, effective April 28, 2008; amended at 32 Ill. Reg. 8592, effective May 29,  
 253 2008; amended at 32 Ill. Reg. 8931, effective June 4, 2008; amended at 32 Ill. Reg. 13200,  
 254 effective July 22, 2008; amended at 32 Ill. Reg. 17418, effective October 23, 2008; amended at  
 255 32 Ill. Reg. 17759, effective October 28, 2008; amended at 32 Ill. Reg. 17946, effective  
 256 November 5, 2008; amended at 34 Ill. Reg. 3285, effective February 26, 2010; amended at 34 Ill.  
 257 Reg. 3748, effective March 11, 2010; amended at 34 Ill. Reg. 4768, effective March 16, 2010;  
 258 amended at 34 Ill. Reg. 5200, effective March 24, 2010; amended at 34 Ill. Reg. 15386, effective

September 23, 2010; amended at 36 Ill. Reg. 13199, effective July 31, 2012; amended at 37 Ill. Reg. 12050, effective July 9, 2013; amended at 37 Ill. Reg. 18255, effective November 1, 2013; amended at 38 Ill. Reg. 2808, effective January 8, 2014; amended at 38 Ill. Reg. 21471, effective October 29, 2014; amended at 39 Ill. Reg. 4362, effective March 10, 2015; amended at 39 Ill. Reg. 12312, effective August 18, 2015; amended at 40 Ill. Reg. 12776, effective August 19, 2016; amended at 41 Ill. Reg. 380, effective December 29, 2016; amended at 41 Ill. Reg. 12840, effective September 28, 2017; emergency amendment at 43 Ill. Reg. 9801, effective August 23, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. 10512, effective September 5, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. 10733, effective September 13, 2019, for a maximum of 150 days; amended at 44 Ill. Reg. 521, effective December 30, 2019; amended at 44 Ill. Reg. 3224, effective February 4, 2020; emergency amendment at 44 Ill. Reg. 6426, effective April 7, 2020, for a maximum of 150 days; emergency expired September 3, 2020; amended at 44 Ill. Reg. 11156, effective June 17, 2020; amended at 44 Ill. Reg. 13653, effective August 6, 2020; amended at 45 Ill. Reg. 14449, effective November 2, 2021; amended at 46 Ill. Reg. 5542, effective March 16, 2022; amended at 47 Ill. Reg. 8454, effective May 30, 2023; amended at 49 Ill. Reg. 747, effective December 31, 2024; amended at 49 Ill. Reg. 6960, effective May 1, 2025; amended at 49 Ill. Reg. 10016, effective July 17, 2025; amended at 50 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

## SUBPART B: LICENSES

### Section 3000.200 Classification of Licenses

The Board may classify an activity to be licensed in addition to, different from, or at a different level than the classifications set forth in this Subpart.

- a) Owners License. An owner of a Gaming Operation is required to hold an owners license.
- b) Suppliers License. The following persons or entities are required to hold a suppliers license:
  - 1) Supplier of Gaming Equipment/Supplies, including a manufacturer, distributor, wholesaler, or retailer. All manufacturers of Electronic Gaming Devices, Chips, Tokens, Voucher Systems, Voucher Validation Terminals, Voucher Printers, and Computer Monitoring Systems must be licensed as a Supplier regardless of whether the manufacturer uses an independent distributor or wholesaler to distribute its Equipment/Supplies.
  - 2) Supplier of Gaming Equipment maintenance or repair services.
  - 3) Supplier of security services.

- 4) Lessors of Riverboat and/or dock facilities.
  - 5) Junketeers.
  - 6) Any other purveyor of goods or services to a Gaming Operation, as deemed necessary by the Board.
- c) Occupational License. A person employed at a Gaming Operation is required to hold an occupational license. An occupational licensee may perform any activity included within the licensee's level of occupational license or any lower level of occupational license.
- 1) Occupational license, Level 1, includes the following positions, or the highest ranking position in the following units~~or their equivalent~~:
    - A) Audit Manager;
    - B) Casino Manager;
    - C) Chief of Security;
    - D) Chief of Surveillance;
    - E) Chief Financial Officer and/or Controller;
    - F) EDP Manager;
    - G) Electronic Gaming Device Manager;
    - H) General Manager;
    - I) Table Games Manager; and
    - J) Any other individual who, upon review of the applicant's or licensee's Table of Organization, Ownership and Control submitted under Section 3000.223, the Board determines holds a position or a level of ownership, control or influence that is material to the regulatory concerns and obligation of the Board for the specified licensee or applicant.
  - 2) Occupational License, Level 2. Any employee who will perform any function involved in gaming by patrons, but who is not required to be Level 1 under subsection (c)(1). Functions involved in gaming by patrons



include all functions necessary for the conduct of gaming operations, including, but not limited to:

- A) Dealers;
- B) Slot attendants;
- C) Chip runners;
- D) Cage and count room staff;
- E) Gaming equipment maintenance, unless maintenance is performed under the supervision of a Level 2 licensee;
- F) Security;
- G) Surveillance;
- H) Compliance or auditors;
- I) Any employee who supervises any subordinate required to hold a Level 2 license; and
- J) Any employee who works at the physical location of the casino and whose job duties require them to access patron account information that is similar to information listed in Section 1900.1220(b)(1), (b)(2).  
~~A Gaming or security/surveillance employee not required to hold an occupational license, Level 1 under subsection (c)(1).~~

- 3) Non-Gaming Occupational Identification Badge~~Occupational License, Level 3.~~ An employee not required to hold ~~an occupational license,~~ Level 1 or Level 2 occupational license under subsections (c)(1) and (c)(2) must have a non-gaming occupational identification badge in effect at all times that individual is working as an employee.

- d) Organization Gaming License. An organization gaming license is a license authorizing gaming, issued under Section 7.7 of the Act, to a person or entity having operating control of a racetrack. In addition to other requirements of this Part, organization gaming licensees are subject to the same duties and obligations as riverboat/casino owners licensees under Sections 3000.230, 3000.286, 3000.300, 3000.310 and 3000.840 and Section 7 of the Act.

(Source: Amended at 50 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## Section 3000.245 Occupational Licenses

a) Overview of Licensing Procedures. Applications for Occupational Licenses shall be subject to the following procedures prior to licensing:

- 1) Application;
- 2) Issuance of a temporary identification badge;
- 3) Investigation of the applicant;
- 4) Action of the Board; and
- 5) Different or additional licensing procedures as required of the applicant by the Board.

b) The Administrator may issue a temporary identification badge to an applicant for an occupational license. The holder of a temporary identification badge may perform duties that would otherwise require an occupational license. ~~Temporary Identification Badge Requirements~~

- 1) The temporary identification badge shall:
  - A) Be a white card bearing the name and logo of the Riverboat Gaming Operation;
  - B) Provide space for a photograph with a minimum size of 1" by 1¼";
  - C) Display applicant's first name and job title;
  - D) Provide a space for a 9-digit number;
  - E) Provide a space for the Administrator's signature;
  - F) Provide spaces for the dates of issuance and expiration of the temporary badge; and
  - G) Provide on the reverse side a line for the employee's last name, signature and date of birth.
- 2) The applicant shall be photographed and fingerprinted.

- 3) A temporary identification badge will not be issued if the Administrator or his or her designated agent has reason to believe the applicant is the subject of a pending investigation or disciplinary action, or is ineligible for licensing pursuant to Section 9(a)(1) or 9(a)(2) of the Act. If the temporary identification badge is not issued, the applicant is not permitted to work for the Riverboat Gaming Operation until and unless the Board issues a license to the applicant.
- 4) Temporary identification badges are valid for up to one year from the date of the application unless extended by the Administrator. A temporary identification badge is not transferable and upon resignation or termination of employment, must be returned by the Occupational License applicant to the holder of an Owner's License or to the Board. If returned to the holder of an Owner's License, the holder must then return the badge to the Board.
- 5) Recission of Temporary Identification Badge
  - A) The Administrator, upon written notification to the applicant and the holder of the Owner's License, shall rescind an applicant's temporary identification badge upon the issuance of a notice of denial.
  - B) Following rescission of an applicant's temporary identification badge, the applicant shall not be permitted to work for the Riverboat Gaming Operation until and unless the Board issues a license to the applicant.
  - C) Following rescission of an applicant's temporary identification badge, the applicant remains entitled to request a hearing on the license denial in accordance with Section 3000.405.
- c) Investigation of the Applicant and Application. An applicant is responsible for compliance with all requests for information, documents or other materials relating to the applicant and his or her application.
- d) Action of the Board
  - 1) In determining whether to grant an occupational license, the Board shall consider the character, associations and reputation of the applicant and the qualifications of the applicant to perform the duties of the position to be licensed.

- 2) If the Board finds the applicant suitable for licensing, it shall direct the Administrator to issue the applicant a license.
  - 3) If the Board finds the applicant not suitable for licensing, it shall issue the applicant a Notice of Denial by certified mail or personal delivery.
- e) Request for Hearing
- 1) An applicant who is served with a Notice of Denial may request a hearing in accordance with Section 3000.405.
  - 2) If a hearing is not requested, the Notice of Denial becomes the final order of the Board denying the applicant's license application.
- f) Reapplication for Denied License. If an applicant is denied a license, the applicant may not reapply for a license within one year from the date on which the final order of denial was voted upon by the Board, without leave of the Board.
- g) Permanent Identification Badge Requirements
- 1) The permanent identification badge shall:
    - A) Be of a color selected by the Riverboat Gaming Operation for use on all permanent identification badges utilized by its occupational licensees;
    - B) Be a card bearing the name and logo of the Riverboat Gaming Operation;
    - C) Provide space for a photograph with a minimum size of 1" by 1¼";
    - D) Provide a space for a 9-digit number;
    - E) Display the employee's first name and job title;
    - F) Provide a space for the Administrator's signature;
    - G) Provide a space for the dates of issuance and expiration of applicant's Occupational License;
    - H) Provide on the reverse side of the card a line for the employee's last name, signature and date of birth.

2) Permanent identification badges are not transferable and upon resignation or termination of employment must be returned by the occupational licensee to the holder of an Owner's License or to the Board. If returned to the holder of an Owner's License, the holder must then return the badge to the Board.

h) Display of Identification Badges. Identification badges as required by subsections (b) and (g) shall be worn by all employees during work hours, including those persons employed on the dock site. Identification badges shall be clearly displayed.

i) A fee of \$10.00 shall be paid to the Board for any necessary replacement of identification badges.

(Source: Amended at 50 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)